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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 97,223-D)

PATENT

In Application Of:	)	
	)	
D. Lansing Taylor	)	
	)	**Examiner: Padmanabhan, K.**
Serial No. **09/468,673**	)	
	)	**Group Art Unit: 1641**
Filed: **December 21, 1999**	)	
	)	
For: **Miniaturized Cell Array Methods and Apparatus for Cell-Based Screening**	)	

Repln. Ref: 06/22/2001 ETULU1 0011555300
DA#:132490 Name/Number:09468673
FC: 704 $5.00 CR

Information Disclosure Statement

Assistant Commissioner For Patents
Washington, D.C. 20231

Repln. Ref: 06/22/2001 ETULU1 0011580500
DA#:132490 Name/Number:09468673
FC: 704 $55.00 CR

Dear Sir:

Pursuant To 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This information disclosure statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

In the judgment of the undersigned, portions of the listed references may be material to the examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

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06/22/2001 ETULU1 00000059 09468673
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FEES

☐ **No fee** is owed by the applicant(s).

☒ The **IDS Fee of $240.00** under 37 C.F.R. § 1.17(p), **extension fee of $55.00,** and **processing fee for color drawings of $130.00** under 37 C.F.R. § 1.17 (I) is enclosed herewith.

METHOD OF PAYMENT OF FEES

☒ Attached is a check in the amount of $425.00

☐ Charge Deposit Account No. 13-2490 in the amount of $. (A duplicate copy of this communication is enclosed for that purpose.)

Please charge any underpayment or credit any overpayment in connection with this communication to Deposit Account No. 13-2490. A duplicate copy of this communication is enclosed for this purpose.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described herein-above, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee" in an envelope addressed to: Asst. Commissioner for Patents, Washington, D.C. 20231-9999, on this 20th day of **June, 2001**. Express Mail No. **EL604651365US.**

Respectfully submitted,

Date: 6/20/01

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U.S. Patent Documents

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Co-Pending Application

Jung, et al., U.S. Patent Application No. 09/401,212, Filed on September 22, 1999.

Respectfully Submitted,

Date: 6/20/01

By: _____

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This Information Disclosure Statement is being filed:

☐ within three months of the filing date of a national application; within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office Action on the merits. 37 C.F.R. §1.97 (b)

☒ **after** three months of the filing date of a national application, or the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or **after** the mailing date of a first Office Action on the merits, but **before** the mailing date of a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), and includes (37 C.F.R. § 1.97 (c):

☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below)

OR

☐ the fee of $240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).

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CERTIFICATION

☐ The **undersigned** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.

☐ The **undersigned** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.